

COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450

SERIAL NO. 09/714,251

IBM DOCKET NO. AUS920000470US1

DATE: November 15, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
MacPhail, et. al.

Serial No.: 09/714,251

Filed: 11/16/2000

Patent No.: 6,944,866

Granted: 09/13/2005

Title: System and Method For  
Coordinating Operator Efforts Using  
Action Diaries

§ Art Unit: 2126  
§  
§ Examiner: Hoang, Phuong N.  
§  
§ Atty Docket No. AUS920000470US1  
§ International Business  
§ Machines Corporation  
§  
§ Intellectual Property Law Dept.  
§ 11400 Burnet Road  
§ Austin, Texas 78758

**PETITION FOR CERTIFICATE OF CORRECTION**

Attn: Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate of Mailing or Transmission**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Leslie A Van Leeuwen  
Leslie A Van Leeuwen

11/15/2005  
Date

**Submission Required Under 37 CFR § 1.322 (Office's Mistake)**

X In the matter of Patent Number 6,944,866 issued September 13, 2005 a careful check of the same against the file of the attorney shows errors in the patent chargeable to the Office, as shown on the attached Certificate of Correction.

With regard to the correction of inventorship, Applicants have attached a copy of the transmittal form sent with the Application when it was filed, showing the inventors as "MacPhail, et al." Applicants have also attached a copy of the original Declaration and Power of Attorney filed with the Application (3 pages), showing that both Ms. MacPhail and Mr. Szulewski were included as inventors.

Respectfully submitted,

By Leslie A Van Leeuwen  
Leslie A. Van Leeuwen, Reg. No. 42,196  
Van Leeuwen & Van Leeuwen  
Attorneys for Applicant  
Phone: (512) 301-6738

Certificate  
NOV 29 2005  
of Correction

NOV 29 2005

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,944,866  
DATED : September 13, 2005  
INVENTOR(S) : MacPhail et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Inventor section, please insert --Richard Stephen Szulewski, Wake Forest, NC--.

Column 5, lines 29-30, please delete "FIG. 15 is a flowchart for archiving outdated action diaries based on archival rules; and" and insert --FIG. 15 is a flowchart for creating a new action diary using other system components and objects--.

Column 5, lines 31-32, please delete "FIG. 16 is a block diagram of an information handling system capable of implementing the present invention." and insert --FIG. 16 is a flowchart for archiving outdated action diaries based on archival rules; and--.

Column 9, line 36, please delete "improved" and insert --improves--.

Column 15, line 6, please delete "applications" and insert --application--.

Column 15, line 28, please delete "and" and insert --are--.

**MAILING ADDRESS OF SENDER:**

Van Leeuwen & Van Leeuwen  
PO Box 90609  
Austin, TX 78709-0609

PATENT NO. 6,944,866

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

NOV 29 2005

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,944,866  
DATED : September 13, 2005  
INVENTOR(S) : MacPhail et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 17, column 17, line 16, please delete "more solving" and insert --more event solving--.

Claim 31, column 18, line 27, please delete "computer by" and insert --computer operated by--.

Claim 33, column 28, after line 37, please insert --a memory operatively coupled to the one or more processing units; and--

Claim 36, column 19, line 3, please delete "chart" and insert --chat--.

Claim 38, column 19, line 19, please delete "lest" and insert --least--.

Claim 41, column 20, line 22, please delete "chart" and insert --chat--.

MAILING ADDRESS OF SENDER:  
Van Leeuwen & Van Leeuwen  
PO Box 90609  
Austin, TX 78709-0609

PATENT NO. 6,944,866

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

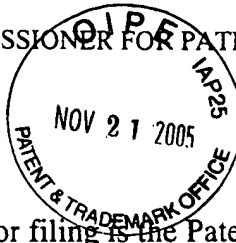
NOV 29 2005

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

EF409652376US

IBM DOCKET NO. AUS9-2000-0470-US1

DATE: 11-16-00



Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: MacPhail, et al.

For: **System and Method For Coordinating Operator Efforts Using Action Diaries**

Enclosed are:

- ☒ Patent Specification and Declaration.
- ☒ sheets of drawing(s). (Formal) 17 Sheets.
- ☒ An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ Information Disclosure Statement, PTO 1449 and copies of references

The filing fee has been calculated as shown below:

| For               | Number Filed | Number Extra | Rate    | Fee       |
|-------------------|--------------|--------------|---------|-----------|
| Basic Fee         |              |              |         | 710.00    |
| Total Claims      | 20           | 0            | x \$18  | \$ 0.00   |
| Indep. Claims     | 3            | 0            | x \$80  | \$ 0.00   |
| Mult. Dep. Claims | 0            | 0            | x \$260 | 0         |
| Total:            |              |              |         | \$ 710.00 |

- ☒ Please charge my Deposit Account No. 09-0447 in the amount of \$ 710.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 CFR Sect. 1.16.
  - ☒ Any patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By

Leslie A. Van Leeuwen  
Registration No. 42,196  
Intellectual Property Law Dept.  
IBM Corporation  
11400 Burnet Road, Zip 4054  
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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## System and Method For Coordinating Operator Efforts Using Action Diaries

the specification of which (check one)

  X   is attached hereto.

           was filed on                                   
as Application Serial No.                                   
and was amended on                                  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

|   |   |   |                                    |
|---|---|---|------------------------------------|
| Prior Foreign Application(s):           |   |   | Priority Claimed                   |
| <u>                                </u> | <u>                                </u> | <u>                                </u> | <u>      </u> Yes <u>      </u> No |
| (Number)                                | (Country)                               | (Day/Month/Year)                        |                                    |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

NOV 29 2005

(Application Serial #)

(Filing Date)

(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John W. Henderson, Jr., Reg. No. 26,907; James H. Barksdale, Jr., Reg. No. 24,091; Thomas E. Tyson, Reg. No. 28,543; Robert M. Carwell, Reg. No. 28,499; Jeffrey S. LaBaw, Reg. No. 31,633; Douglas H. Lefevre, Reg. No. 26,193; Casimer K. Salys, Reg. No. 28,900; David A. Mims, Jr., Reg. No. 32,708; Anthony V. England, Reg. No. 35,129; Volel Emile, Reg. No. 39,969; Leslie A. Van Leeuwen, Reg. No. 42,196; Christopher A. Hughes, Reg. No. 26,914; Edward A. Pennington, Reg. No. 32,588; John E. Hoel, Reg. No. 26,279; Joseph C. Redmond, Jr., Reg. No. 18,753; Marilyn S. Dawkins, Reg. No. 31,140; Joseph T. Van Leeuwen, Reg. No. 44,383.

Send correspondence to:

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**FULL NAME OF SOLE OR FIRST INVENTOR:** Margaret Gardner MacPhail

**INVENTOR'S SIGNATURE:** Margaret Gardner MacPhail **DATE:** 10 nov 2000

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NOV 29 2005

FULL NAME OF SECOND INVENTOR: Richard Stephen Szulewski

INVENTOR'S SIGNATURE: Richard Stephen Szulewski DATE: 10 Nov 2000

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